

# Trellis nets

A compact representation  
for runs of concurrent systems

Eric Fabre

DISTRIBCOM group

IRISA/INRIA - Rennes - France

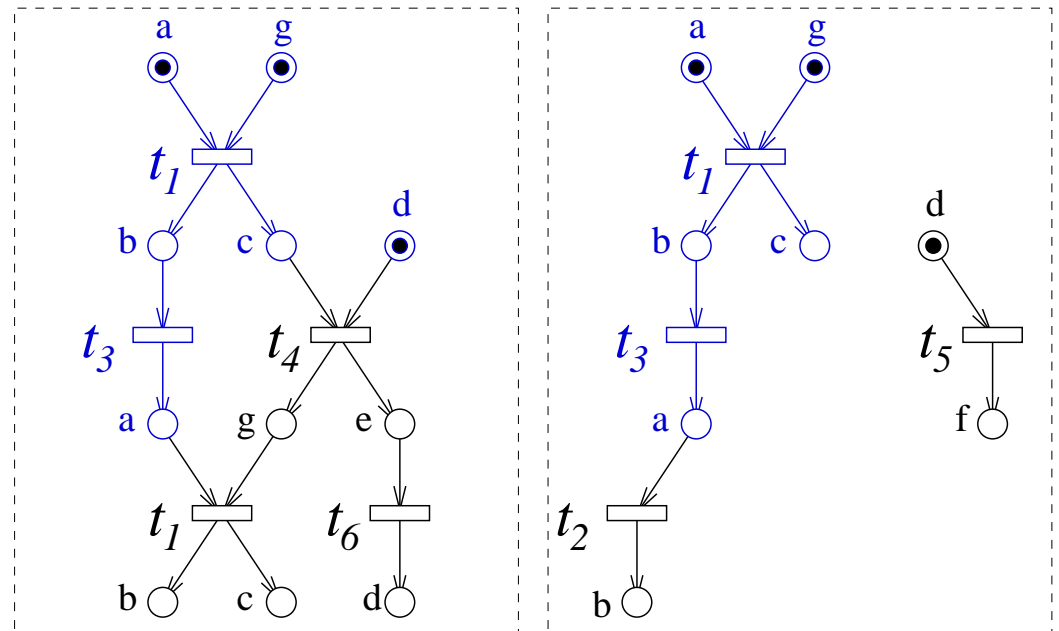
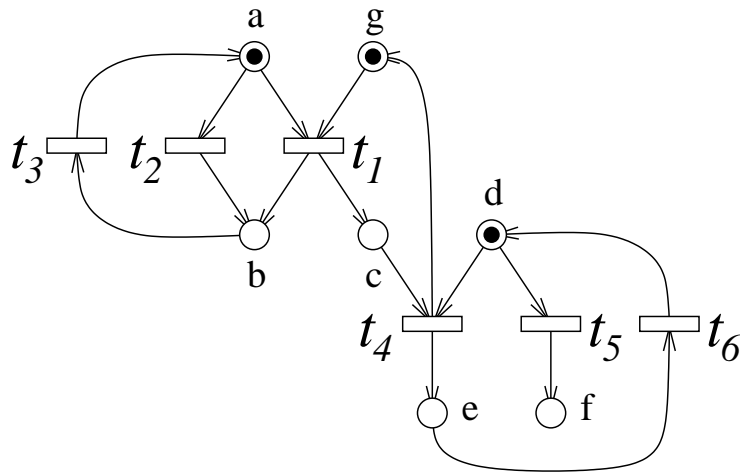
# Outline

1. Recall : factorization of unfoldings
2. How to derive this result ?
3. Its use for distributed monitoring... and its limitations
4. Trellis nets, and their properties
5. Relations between nets, unfoldings, trellis nets

# 1 - Recall : factorization of unfoldings

## Safe nets - Configurations :

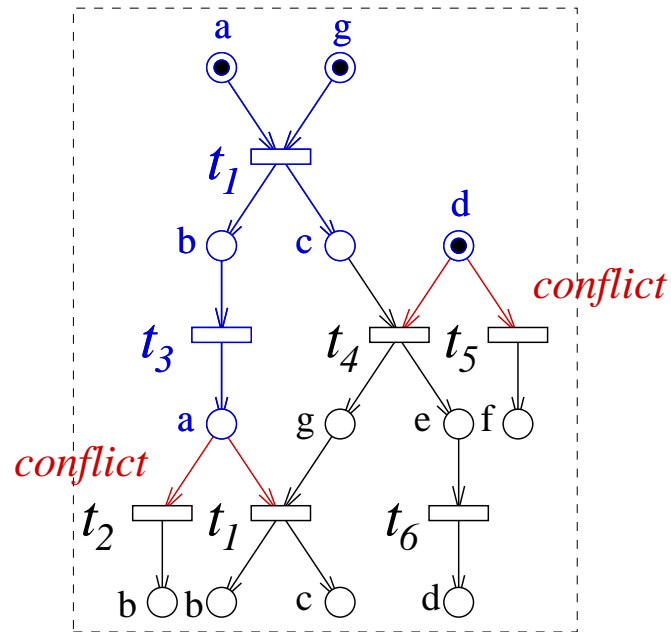
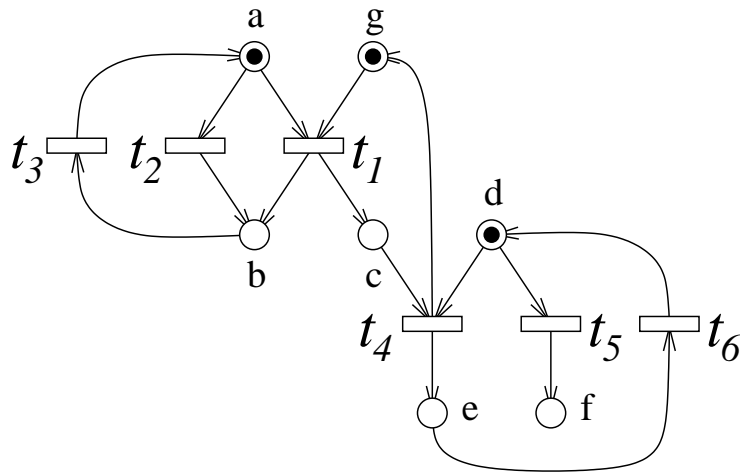
- Net :  $\mathcal{N} = (P, T, \rightarrow, P^0, \lambda, \Lambda)$ ,
- Configuration  $\kappa$  : run of a safe net.



# 1 - Recall : factorization of unfoldings

## Safe nets - Configurations :

- Net :  $\mathcal{N} = (P, T, \rightarrow, P^0, \lambda, \Lambda)$ ,
- Occurrence net : a set of configurations.



**Occurrence net** :  $\mathcal{O} = (C, E, \rightarrow, C^0, \lambda, \Lambda)$  is an ON iff

1.  $\rightarrow^*$  is a well founded partial order,
2.  $C^0 =$  minimal nodes of  $\rightarrow^*$ ,
3. forall  $c \in C$ ,  $|\bullet c| \leq 1$  : a single cause to every condition,
4. no node is in self-conflict.

**Branching process** :  $\mathcal{O}$  is a BP of  $\mathcal{N}$  iff

1. there exists a morphism (folding)  $f : \mathcal{O} \rightarrow \mathcal{N}$ , preserving labels,
2. parsimony :  $\forall e, e' \in E$ ,  $[\bullet e = \bullet e', f(e) = f(e')] \Rightarrow e = e'$

**Unfolding of  $\mathcal{N}$**  :  $\mathcal{U}_{\mathcal{N}}$  is the maximal branching process of  $\mathcal{N}$ .

**Product of nets :**  $\mathcal{N} = \mathcal{N}_1 \times_{nets} \mathcal{N}_2$  is based on labels

1. places remain private :  $P = P_1 \uplus P_2$ , disjoint union,
2. transitions labeled by  $\Lambda_1 \cap \Lambda_2$  must synchronize

$$T_s = \{(t_1, t_2) : \lambda_1(t_1) = \lambda_2(t_2) \in \Lambda_1 \cap \Lambda_2\}$$

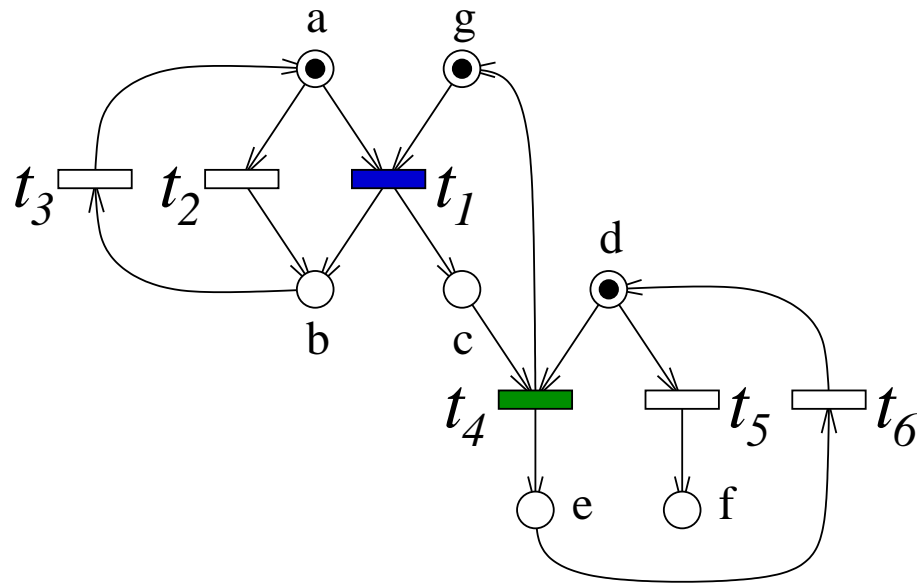
3. the other transitions remain private

$$T_p = \{(t_1, *) : \lambda_1(t_1) \in \Lambda_1 \setminus \Lambda_2\} \\ \cup \{(*, t_2) : \lambda_2(t_2) \in \Lambda_2 \setminus \Lambda_1\}$$

4.  $T = T_p \cup T_s$ , and the flow follows naturally.

## Product of nets : $\times_{nets}$

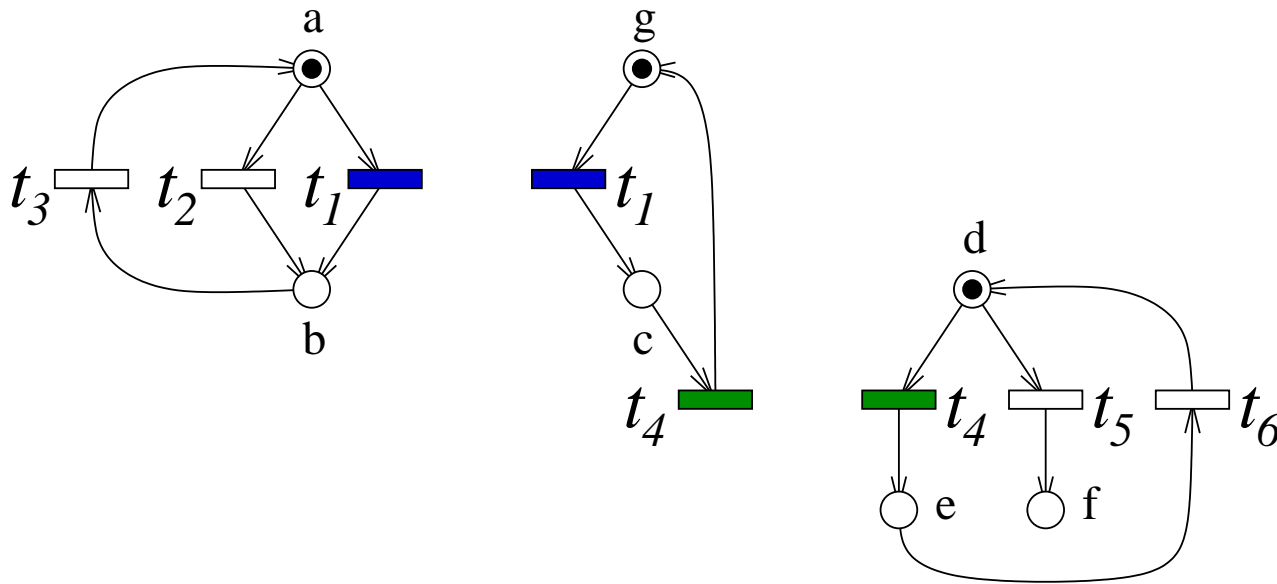
- Example :



- In general, the number of transitions is *larger* in the product.

## Product of nets : $\times_{nets}$

- Example :



- In general, the number of transitions is *larger* in the product.



Product of (labeled) occurrence nets :  $\mathcal{O} = \mathcal{O}_1 \times_{occ} \mathcal{O}_2$

- There exists such a product (we don't detail the definition).
- Again, an ON resulting of a product is generally more complex than its factors (the number of events and conditions increases).

**Theorem :** If  $\mathcal{N} = \mathcal{N}_1 \times_{nets} \mathcal{N}_2 \times_{nets} \dots \times_{nets} \mathcal{N}_n$ , then

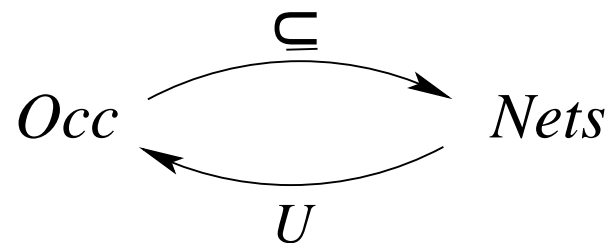
$$\mathcal{U}_{\mathcal{N}} = \mathcal{U}_{\mathcal{N}_1} \times_{occ} \mathcal{U}_{\mathcal{N}_2} \times_{occ} \dots \times_{occ} \mathcal{U}_{\mathcal{N}_n}$$

- Interest : provides a more *compact* description for runs of a compound system.

## 2 - How to derive this result ?

[Winskel, 1984]

Adjunction :



- between categories  $Occ$  and  $Nets$
- two functors, working in opposite directions

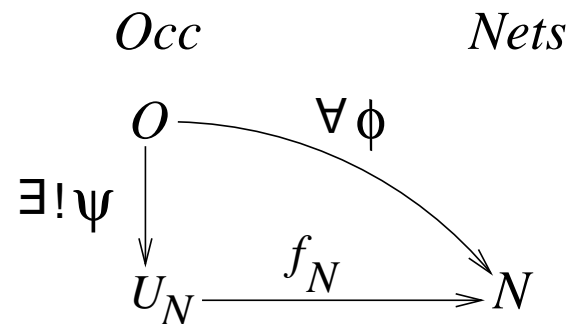
$$\sigma : Occ \rightarrow Nets$$

$$U : Nets \rightarrow Occ$$

## Construction : based on three ingredients

- (H1) The unfolding operation is a functor  $\mathcal{U} : Nets \rightarrow Occ$
- (H2) Universal property of unfoldings :

$$\forall \phi : \mathcal{O} \rightarrow \mathcal{N}, \quad \exists ! \psi : \mathcal{O} \rightarrow \mathcal{U}(\mathcal{N}), \quad \phi = f_{\mathcal{N}} \circ \psi$$



- (H3)  $\mathcal{U}$  is invariant on occurrence nets :  $\forall \mathcal{O} \in Occ, \quad \mathcal{U}(\mathcal{O}) \cong \mathcal{O}$

then...

- Right adjoints preserve limits, in particular products :

$$\mathcal{U}(\mathcal{N}_1 \times_{nets} \mathcal{N}_2) = \mathcal{U}(\mathcal{N}_1) \times_{occ} \mathcal{U}(\mathcal{N}_2)$$

- By (H3), the product  $\times_{occ}$  can be defined by

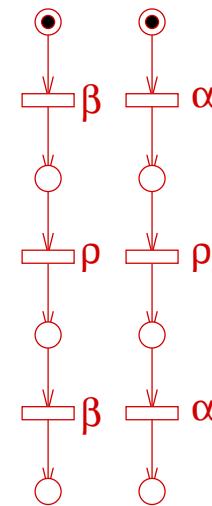
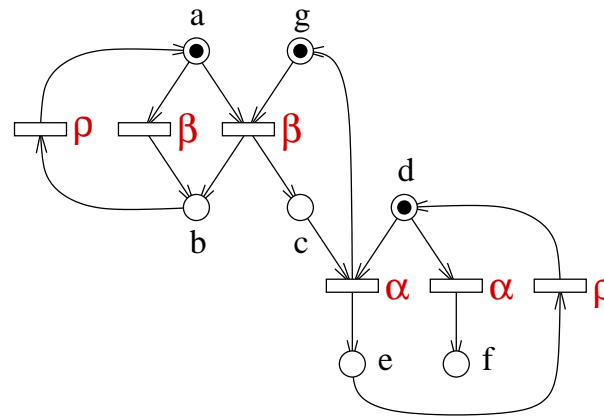
$$\mathcal{O}_1 \times_{occ} \mathcal{O}_2 \cong \mathcal{U}(\mathcal{O}_1) \times_{occ} \mathcal{U}(\mathcal{O}_2) = \mathcal{U}(\mathcal{O}_1 \times_{nets} \mathcal{O}_2)$$



# 3 - Unfolding factorization and distributed diagnosis

## Centralized diagnosis :

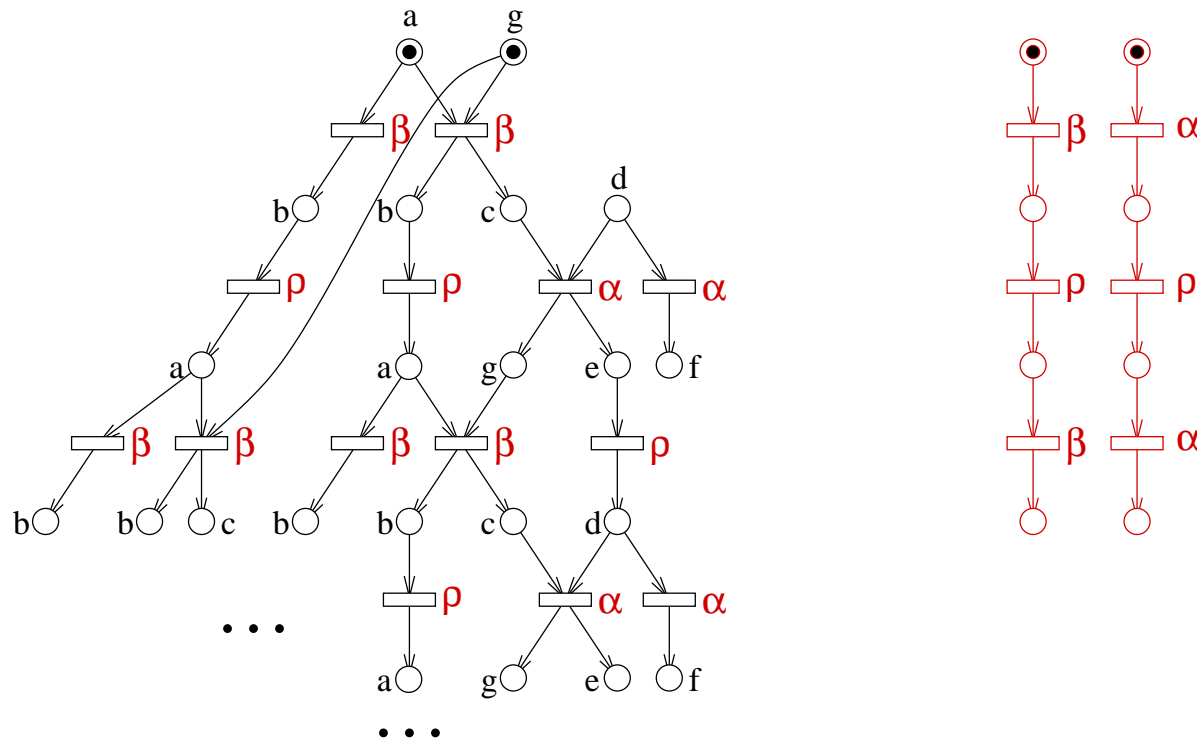
- Single system ; a partial order of labels produced by the system
- Recover runs explaining observations



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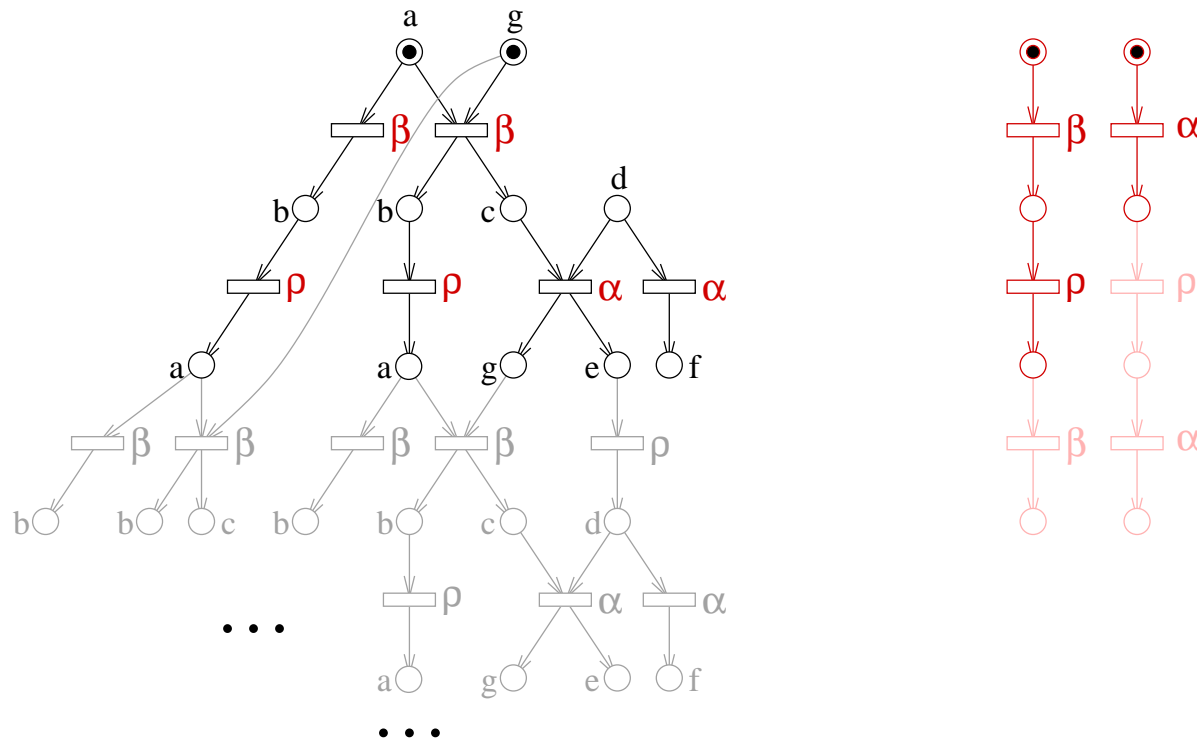
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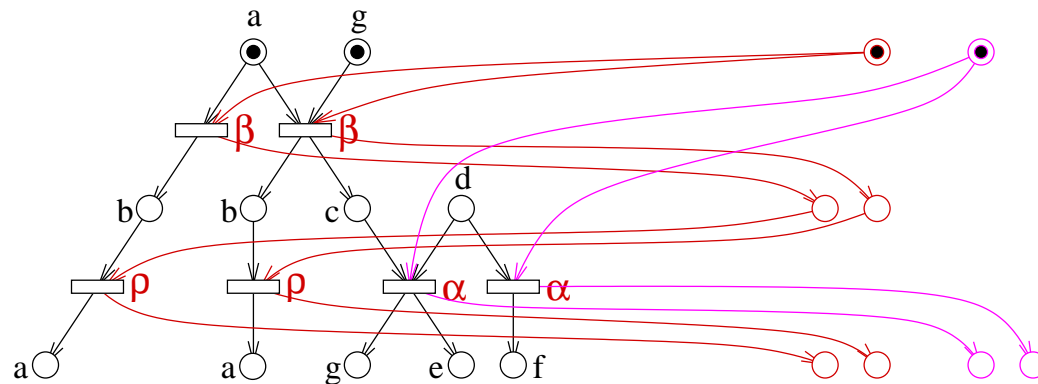




# 3 - Unfolding factorization and distributed diagnosis

## Centralized diagnosis :

- Single system ; a partial order of labels produced by the system
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## Distributed diagnosis :

- Distributed system :  $\mathcal{N} = \mathcal{N}_1 \times_{nets} \mathcal{N}_2 \times_{nets} \dots \times_{nets} \mathcal{N}_n$
- Distributed observations :  $\mathcal{A} = \mathcal{A}_1 \times_{occ} \mathcal{A}_2 \times_{occ} \dots \times_{occ} \mathcal{N}_n$

$$U_N$$
$$\times_{occ}$$
$$A$$

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$$U_N = U_{N_1} \times_{occ} U_{N_2} \times_{occ} \dots \times_{occ} U_{N_n}$$
$$A = A_1 \times_{occ} A_2 \times_{occ} \dots \times_{occ} A_n$$

## Distributed diagnosis :

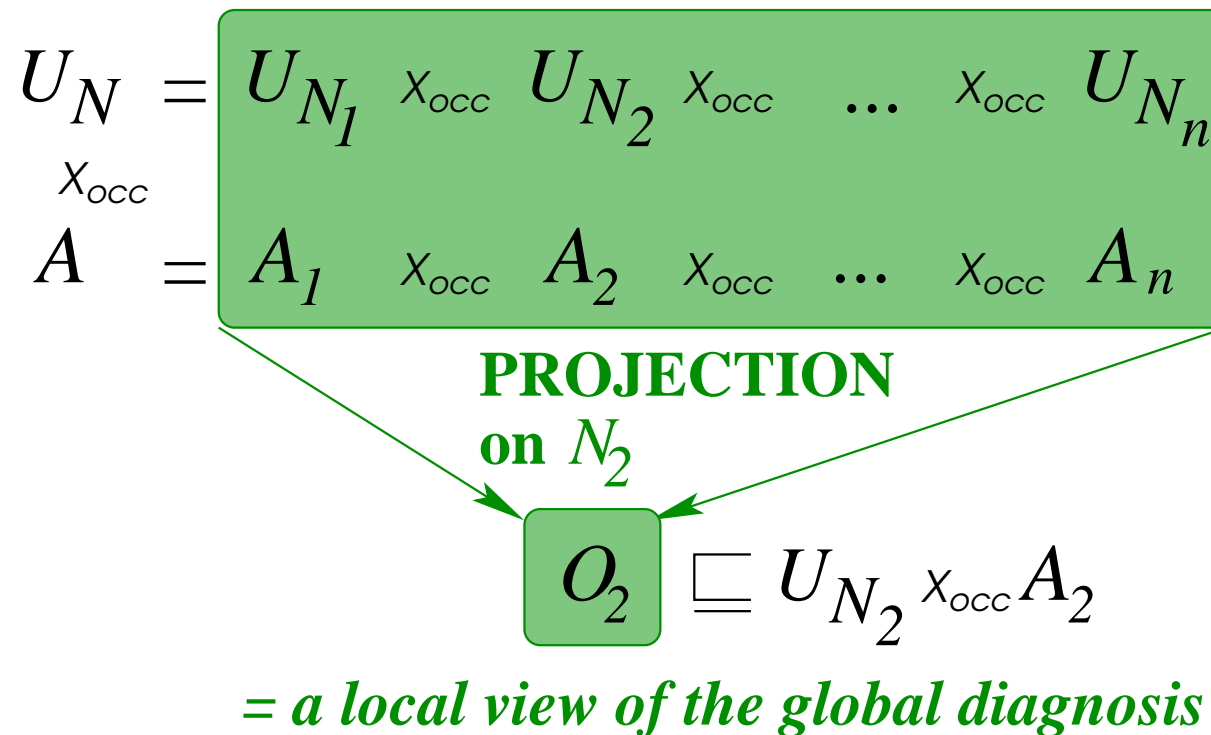
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$$\begin{array}{l} U_N = \\ \quad \times_{occ} \end{array} \begin{array}{l} U_{N_1} \\ \quad \times_{occ} \\ A_1 \end{array} \times_{occ} \begin{array}{l} U_{N_2} \\ \quad \times_{occ} \\ A_2 \end{array} \times_{occ} \dots \times_{occ} \begin{array}{l} U_{N_n} \\ \quad \times_{occ} \\ A_n \end{array}$$

 *local diagnosis*

## Distributed diagnosis :

- Distributed system :  $\mathcal{N} = \mathcal{N}_1 \times_{nets} \mathcal{N}_2 \times_{nets} \dots \times_{nets} \mathcal{N}_n$
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## Distributed diagnosis :

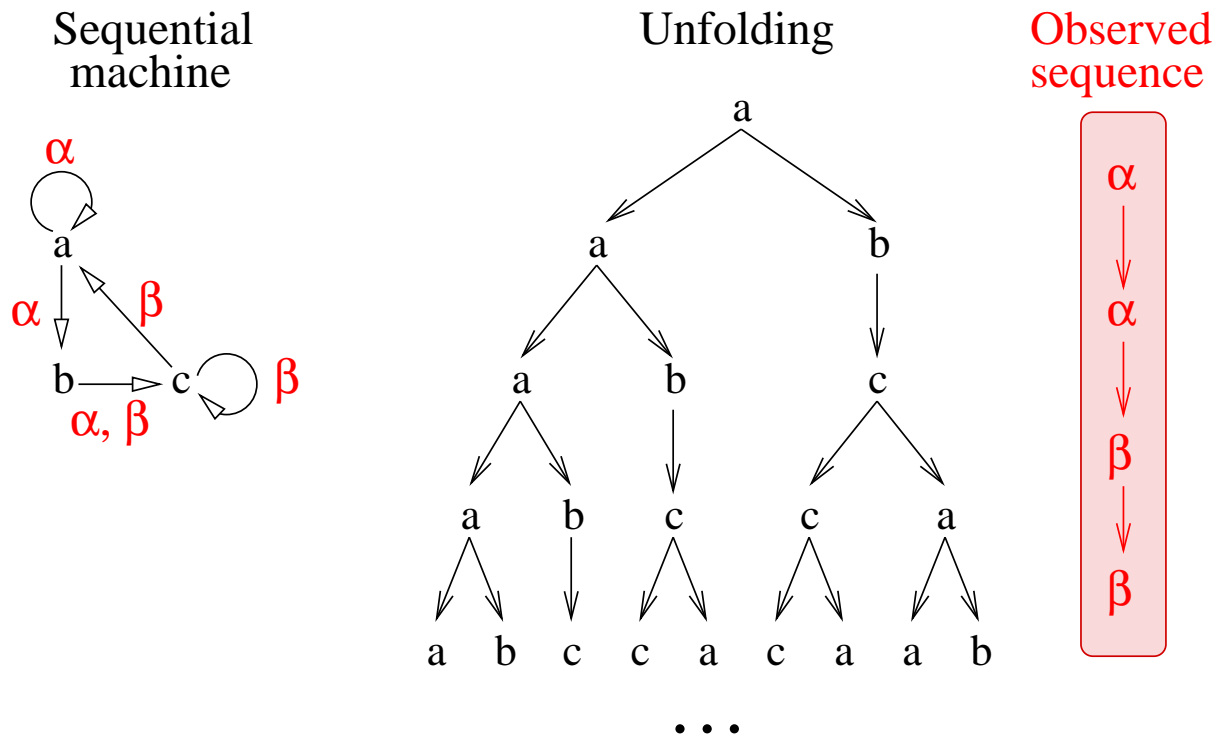
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$$\begin{array}{l} U_N = \\ \quad \times_{occ} \\ A = \end{array} \begin{array}{c} \boxed{U_{N_1} \times_{occ} U_{N_2} \times_{occ} \dots \times_{occ} U_{N_n}} \\ A_1 \times_{occ} A_2 \times_{occ} \dots \times_{occ} A_n \end{array}$$

$$U_N \times_{occ} A = O_1 \times_{occ} \boxed{O_2} \times_{occ} \dots \times_{occ} O_n$$

## Limitation of unfoldings/branching processes :

- In the simple case of a sequential machine : the size of the unfolding explodes with the length of trajectories.
- (Max likelihood) diagnosis algorithms rather use a **trellis**, for example dynamic programming.

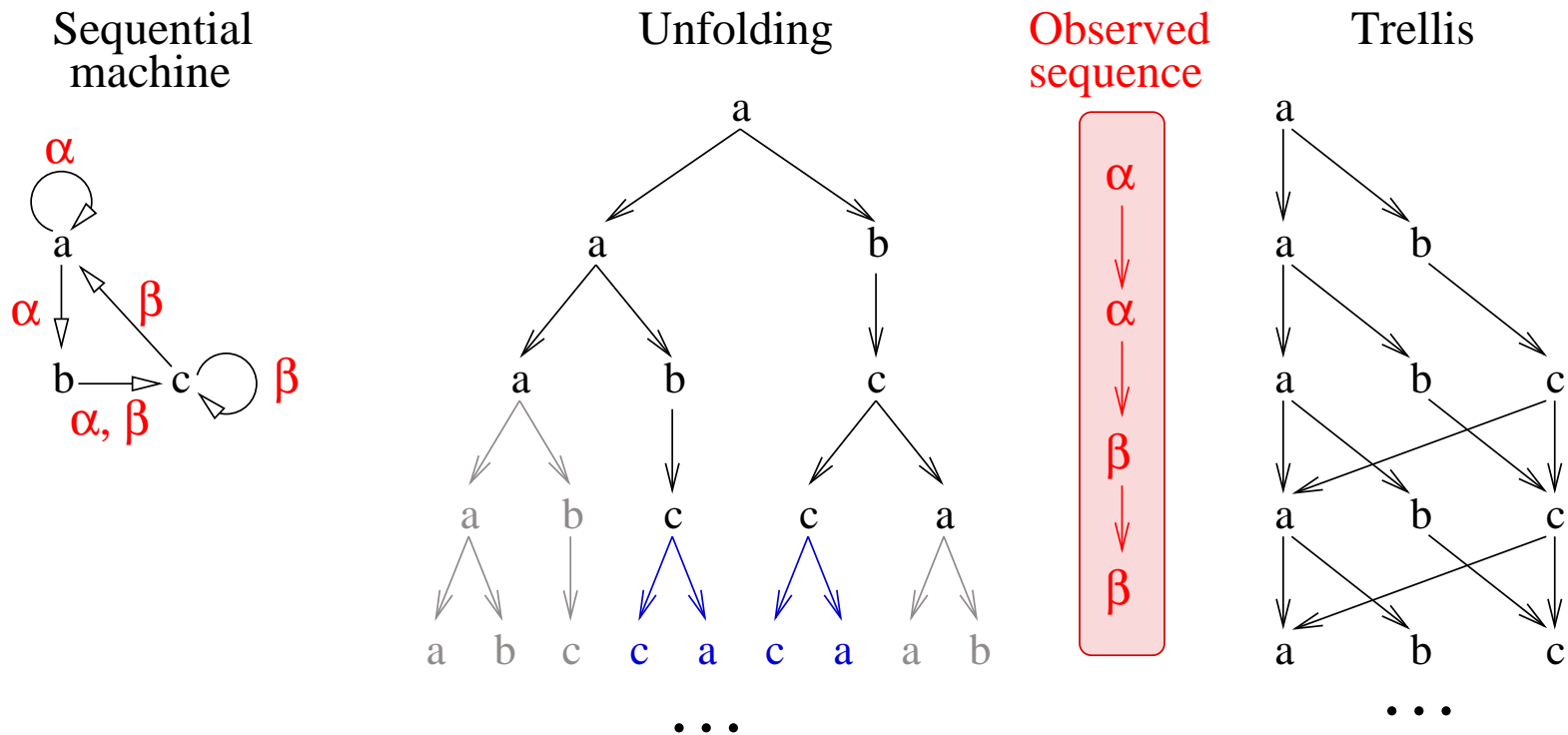






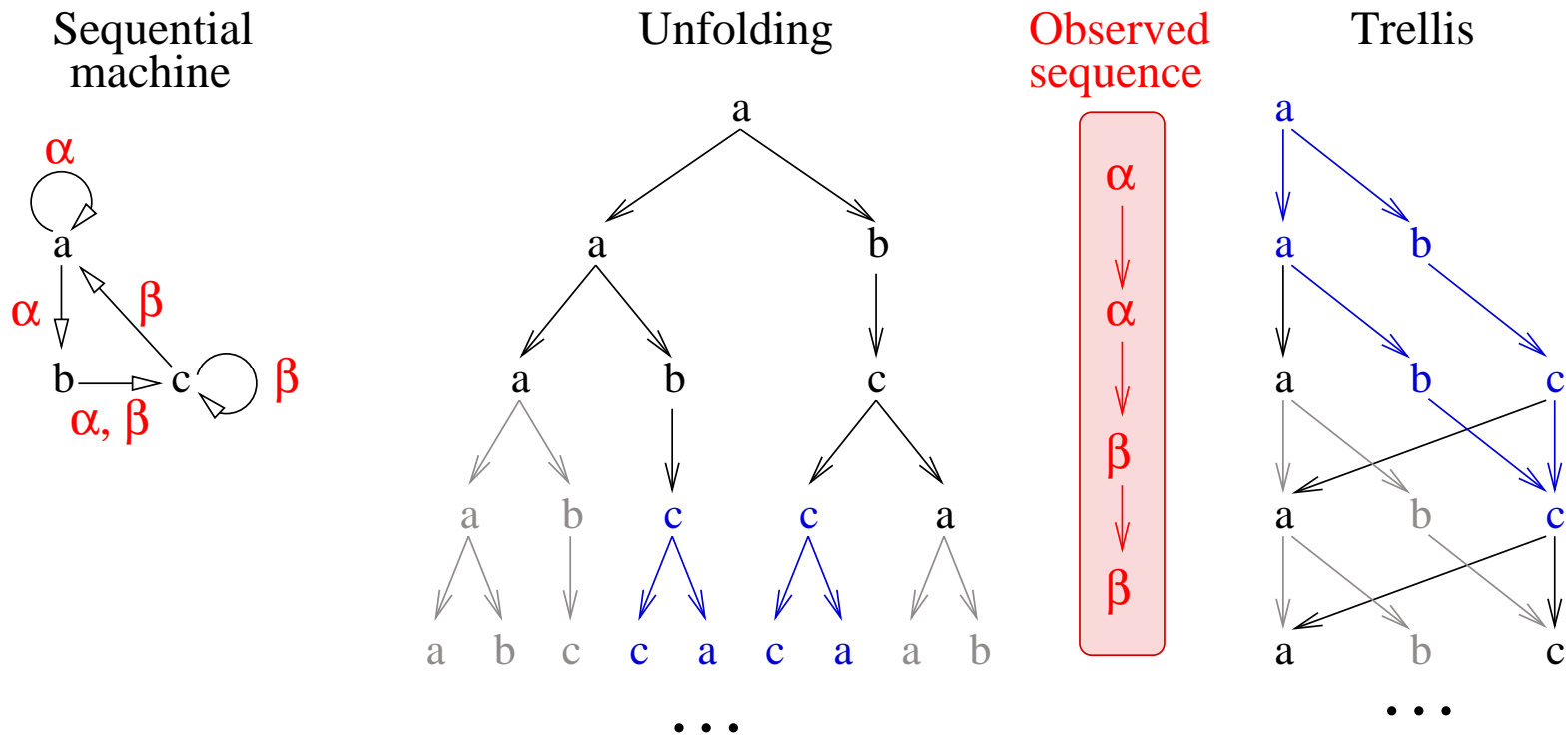
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## Questions :

- Can we adapt the notion of trellis to concurrent systems ?
- Is there a factorization property ?  
(this is necessary for distributed monitoring algorithms)
- What are the relations between nets, trellisses, unfoldings ?

Surprisingly, there exist simple answers to these questions !

## 4 - Trellis nets, and their properties

Occurrence net :  $\mathcal{O} = (C, E, \rightarrow, C^0, \lambda, \Lambda)$

1.  $\rightarrow^*$  is a well founded partial order,
2.  $C^0 =$  minimal nodes of  $\rightarrow^*$ ,
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Pre-trellis net :  $\mathcal{T} = (C, E, \rightarrow, C^0, \lambda, \Lambda)$

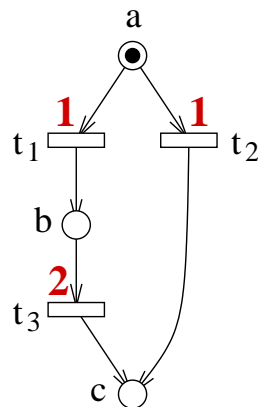
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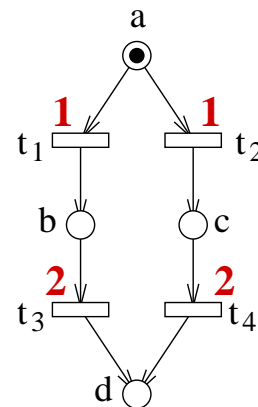
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1.  $\rightarrow^*$  is a well founded partial order,
2.  $C^0 =$  minimal nodes of  $\rightarrow^*$ ,
3.  $\forall c \in C, \forall e, e' \in \bullet c, H(e) = H(e')$  where  $H$  is a *height function*, for example :

$$H(e) = \max\{N : \exists e_1, e_2, \dots, e_N \in E, e_1 \rightarrow^* e_2 \rightarrow^* \dots \rightarrow^* e_N = e\}$$



*not OK*



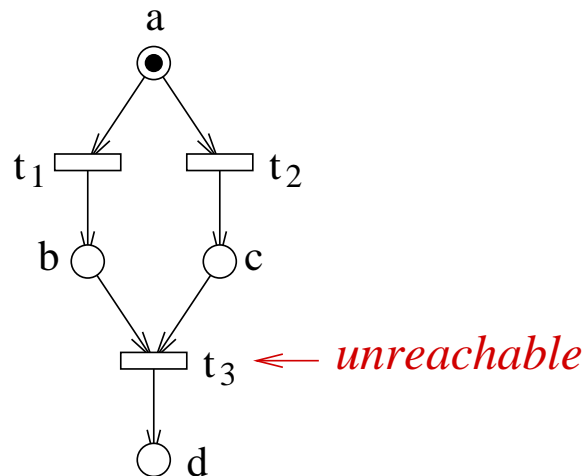
*OK*

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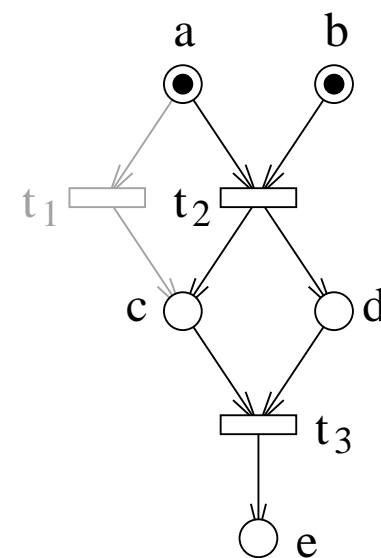
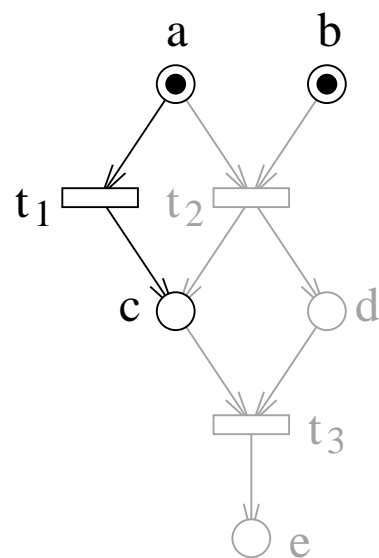
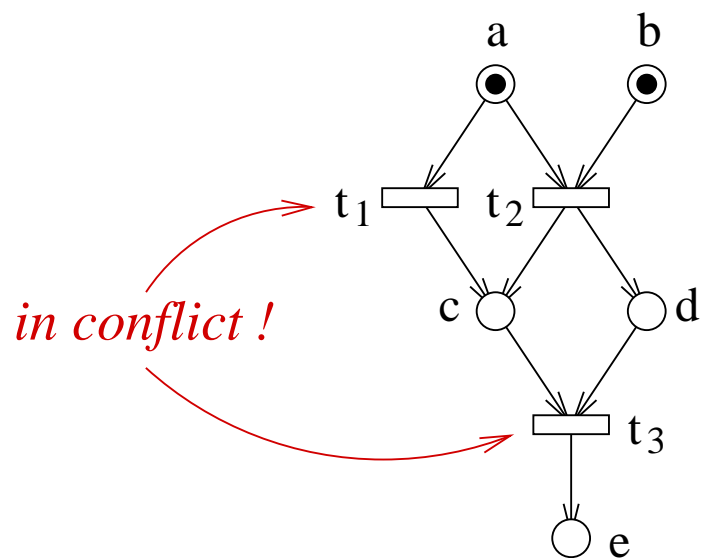
**Configuration** : it's a sub-net  $\kappa$  of  $\mathcal{T} = (C, E, \rightarrow, C^0, \lambda, \Lambda)$  satisfying

1.  $C^0 \subseteq \kappa$  : it contains all initial conditions of  $\mathcal{T}$ ,
  2.  $\forall e \in E \cap \kappa, \bullet e \subseteq \kappa$  and  $e^\bullet \subseteq \kappa$  : each event comes with all its causes and consequences,
  3.  $\forall c \in C \cap \kappa, |\bullet c|_\kappa = 1$  or  $c \in C^0$  : each condition is either minimal or has one of its possible causes,
  4.  $\forall c \in C \cap \kappa, |c^\bullet|_\kappa \leq 1$  : each condition triggers at most one event.
- To read out configurations, one must solve conflicts in both directions of time.

**Trellis net** : a pre-trellis net  $\mathcal{T}$  is a trellis net iff each event is reachable, *i.e.* belongs at least to one configuration.

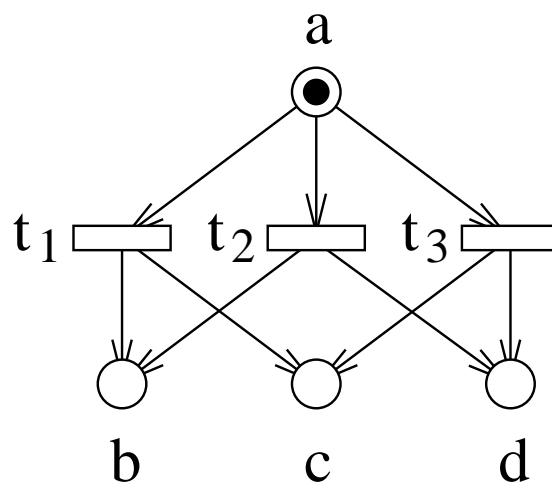


## A simple example



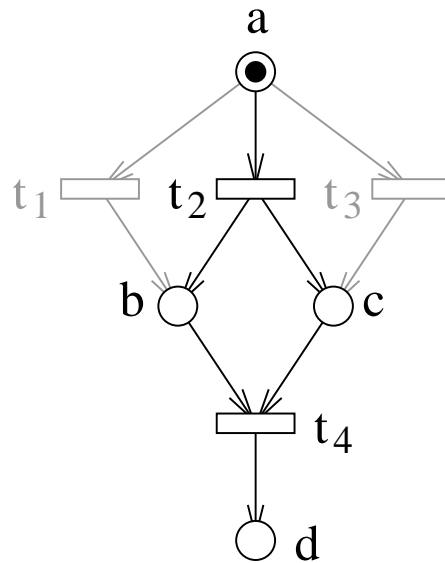
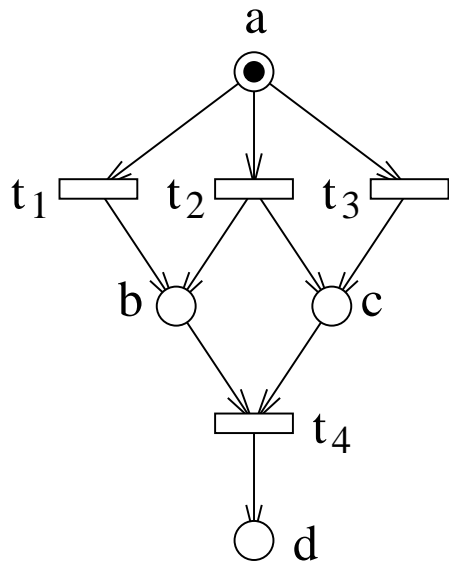
## Concurrency and conflict :

- Not easy to define graphically ! So we use indirect definitions.
- Two nodes are in *conflict* iff they never appear in the same configuration.
- Two nodes are *concurrent* iff there *exists* a configuration  $\kappa$  where they are concurrent.
- The conflict is not binary...

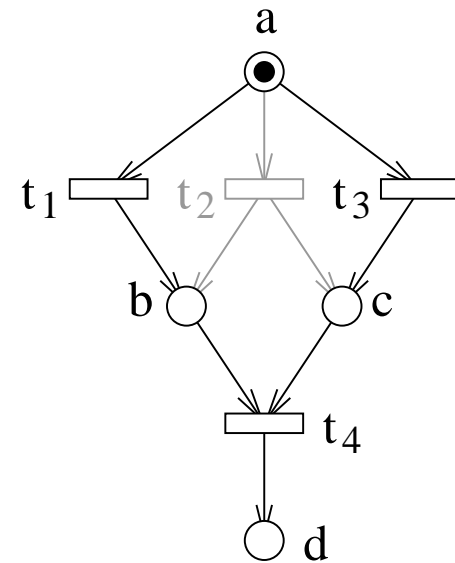


## Prefix of a TN : $\mathcal{T}' \sqsubseteq \mathcal{T}$ iff

1.  $\mathcal{T}'$  is a sub-net of  $\mathcal{T}$ ,
2.  $\min \mathcal{T}' = \min \mathcal{T}$  : same initial conditions,
3.  $\forall e \in E, e \in E' \Rightarrow [\bullet e \subseteq \mathcal{T}' \text{ and } e^\bullet \subseteq \mathcal{T}']$  : events come with all their neighbourhood,
4.  $\mathcal{T}'$  is a trellis net.



*a prefix*

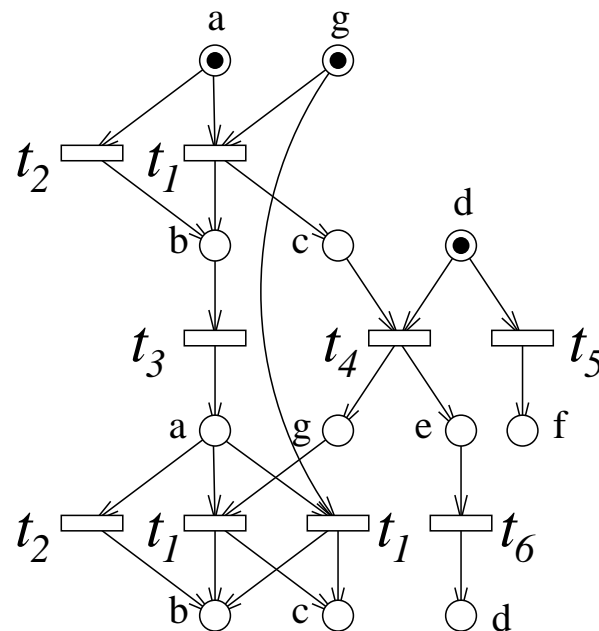
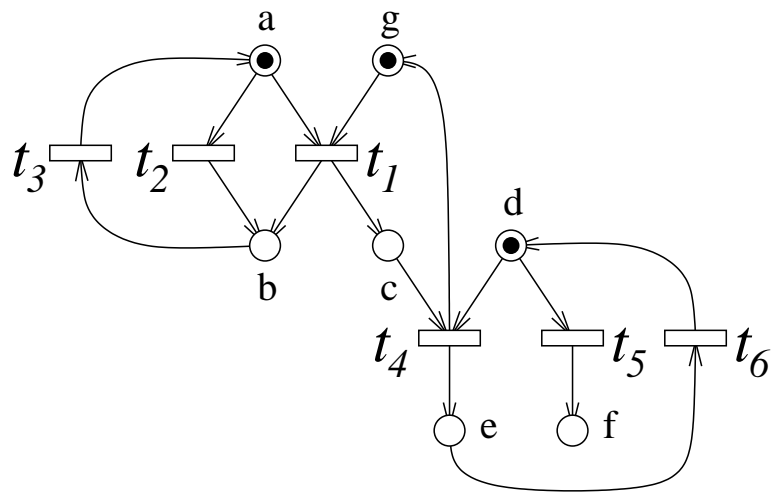


*not a prefix !*

**Trellis process** :  $\mathcal{T}$  is a TP of a net  $\mathcal{N}$  iff

1. there exists a morphism (folding)  $f^t : \mathcal{T} \rightarrow \mathcal{N}$ , preserving labels,
2. parsimony 1 :  $\forall e, e' \in E, [\bullet e = \bullet e', f(e) = f(e')] \Rightarrow e = e'$ ,
3. parsimony 2 :  $\forall c, c' \in C, [H(c) = H(c'), f(c) = f(c')] \Rightarrow c = c'$

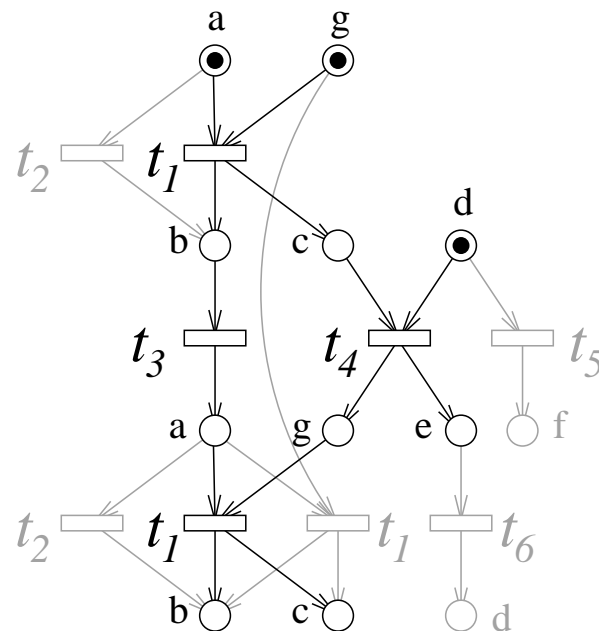
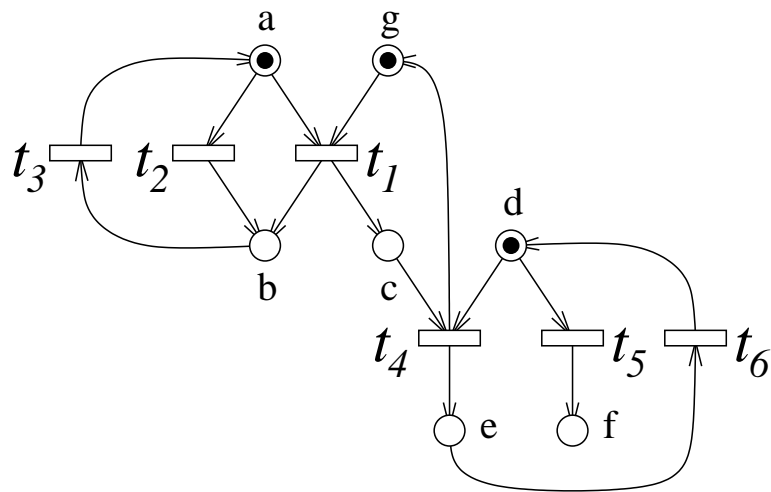
**Time-unfolding (or trellis) of  $\mathcal{N}$**  :  $\mathcal{U}_{\mathcal{N}}^t$  is the maximal trellis process of  $\mathcal{N}$ .



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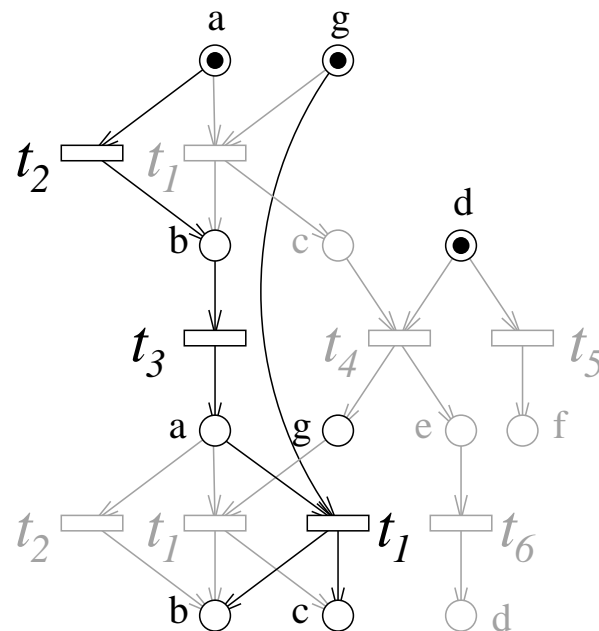
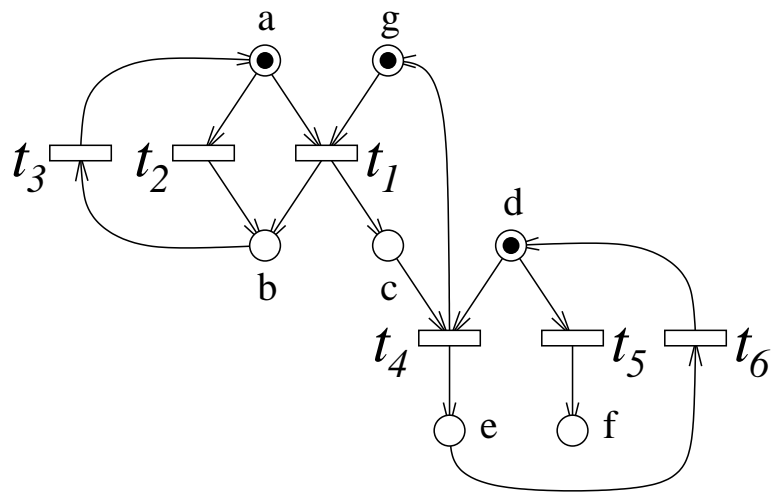
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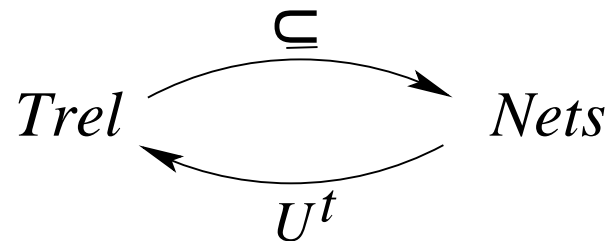
## Adjunction : between $Trel$ and $Nets$

- (H1) The time-unfolding operation is a functor  $\mathcal{U}^t : Nets \rightarrow Trel$
- (H2) Universal property of trellisses/time-unfoldings :

$$\forall \phi : \mathcal{T} \rightarrow \mathcal{N}, \exists ! \psi : \mathcal{T} \rightarrow \mathcal{U}^t(\mathcal{N}), \phi = f_{\mathcal{N}}^t \circ \psi$$

$$\begin{array}{ccc}
 & Trel & Nets \\
 & \mathcal{T} & \\
 \exists ! \psi \downarrow & \searrow \forall \phi & \\
 \mathcal{U}_{\mathcal{N}}^t & \xrightarrow{f_{\mathcal{N}}^t} & \mathcal{N}
 \end{array}$$

- (H3)  $\mathcal{U}^t$  is invariant on trellis nets :  $\forall \mathcal{T} \in Trel, \mathcal{U}^t(\mathcal{T}) \cong \mathcal{T}$



then...

- Right adjoints preserve limits, in particular products :

$$\mathcal{U}^t(\mathcal{N}_1 \times_{nets} \mathcal{N}_2) = \mathcal{U}^t(\mathcal{N}_1) \times_{trel} \mathcal{U}^t(\mathcal{N}_2)$$

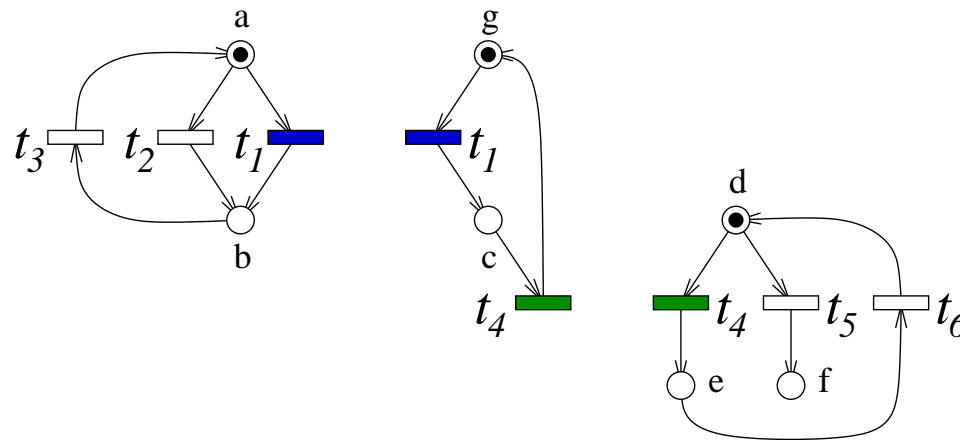
- By (H3), the product  $\times_{trel}$  can be defined by

$$\mathcal{T}_1 \times_{trel} \mathcal{T}_2 \cong \mathcal{U}^t(\mathcal{T}_1) \times_{trel} \mathcal{U}^t(\mathcal{T}_2) = \mathcal{U}^t(\mathcal{T}_1 \times_{nets} \mathcal{T}_2)$$



## Remark :

- A safe net  $\mathcal{N}$  can be expressed as a product of sequential machines  $\mathcal{N}_1 \times_{nets} \dots \times_{nets} \mathcal{N}_n$



- For each component  $\mathcal{N}_i$ , the time-unfolding coincides with the usual notion of trellis for an automaton, thanks to the height constraint.

## 5 - Relations between nets, unfoldings, trellis nets

- $(\subseteq, \mathcal{U})$  defines an adjunction between  $Occ$  and  $Nets \supseteq Trel$ . Its restriction to  $Trel$  induces another adjunction

$$\begin{array}{ccc}
 & \subseteq & \\
 Occ & \xrightarrow{\quad} & Trel \subseteq Nets \\
 & \xleftarrow{\mathcal{U}^c} & 
 \end{array}$$

- On trellis nets, functor  $\mathcal{U}$  unfolds only *conflicts* (time is already unfolded): we denote it by  $\mathcal{U}^c$ .
- We have:

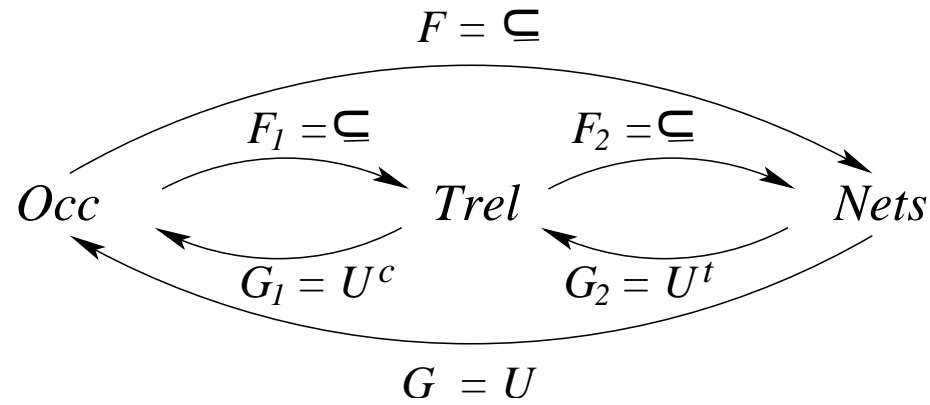
$$\mathcal{U}^c(\mathcal{T}_1 \times_{trel} \mathcal{T}_2) = \mathcal{U}^c(\mathcal{T}_1) \times_{occ} \mathcal{U}^c(\mathcal{T}_2)$$

- and we can redefine  $\times_{occ}$  by

$$\mathcal{O}_1 \times_{occ} \mathcal{O}_2 \cong \mathcal{U}^c(\mathcal{O}_1) \times_{occ} \mathcal{U}^c(\mathcal{O}_2) = \mathcal{U}^c(\mathcal{O}_1 \times_{trel} \mathcal{O}_2)$$

## Gathering results

- Three nested categories  $Occ \subset Trel \subset Nets$ , three adjunctions

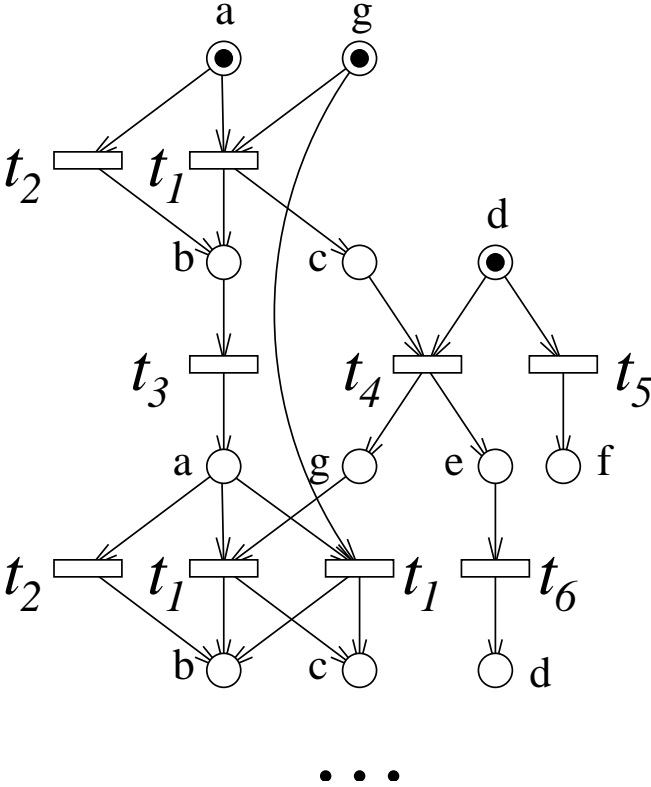
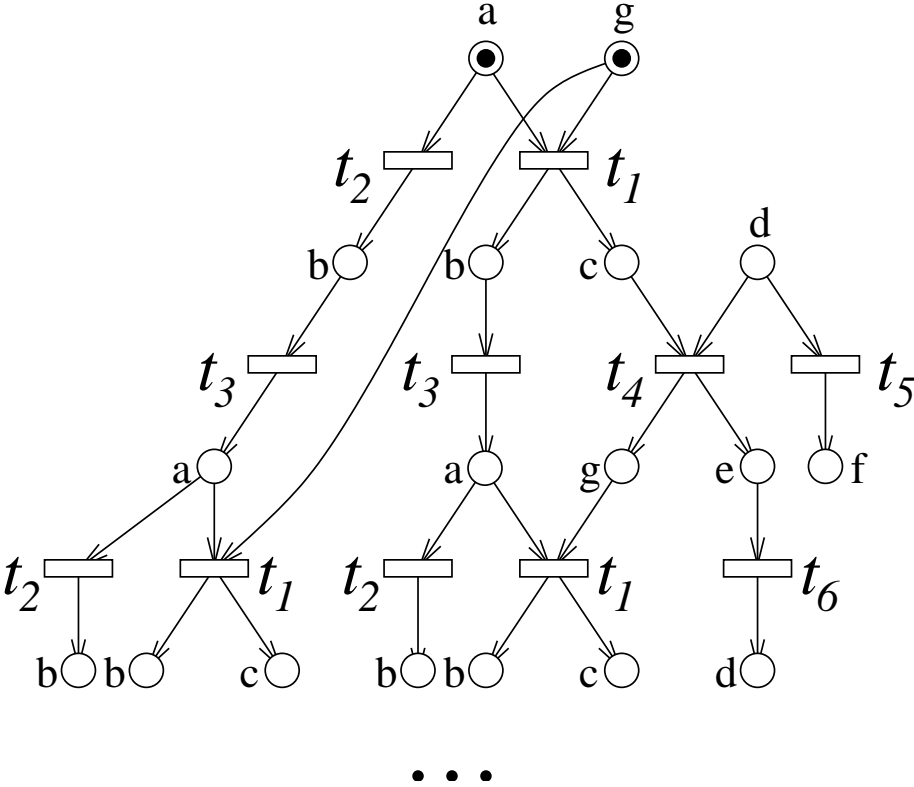


- Adjunctions can be composed : functors  $\mathcal{U}$  and  $\mathcal{U}^c \circ \mathcal{U}^t$  are naturally equivalent

$$\mathcal{U}(\mathcal{N}) \cong \mathcal{U}^c \circ \mathcal{U}^t(\mathcal{N})$$

- The trellis of  $\mathcal{N}$  is obtained by a conflict-folding on  $\mathcal{U}_{\mathcal{N}}$ .
- The trellis and the unfolding of  $\mathcal{N}$  describe the same sets of configurations.

# Comparison :



# Conclusion

## The + and the - of trellis nets :

- + trellis processes remain (more) compact in time,
- configurations are less easy to read,
- + factorization property : small components may be tractable.

## Future work :

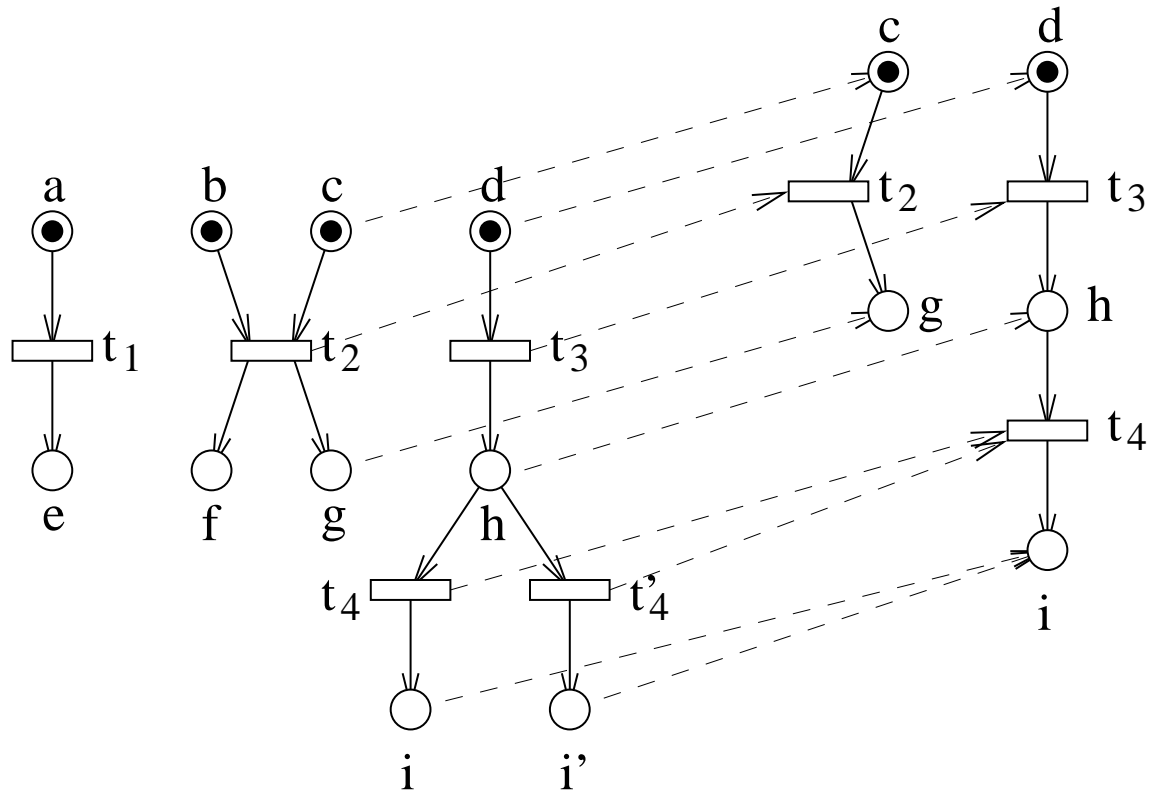
- for distributed processings we need a projection operator,
- notion of (factorized) finite complete prefix,
- can we imagine intermediate structures where conflicts are partially unfolded (to make configurations more easily readable) ?

Question for specialists : are trellis nets known ? Trivial ? Useful ?

Secret Slides

**Morphism :**  $\phi : \mathcal{N}_1 \rightarrow \mathcal{N}_2$

1.  $\phi$  = partial function on places and transitions,
2.  $\phi$  preserves the flow relation (and labels),
3. the restriction  $\phi : M_1^0 \rightarrow M_2^0$  is bijective (on its def. domain),
4.  $\phi$  defined on  $p_1 \Rightarrow \phi$  defined on  $\bullet p_1$  and  $p_1^\bullet$ ,
5.  $\phi$  defined on  $t_1 \Rightarrow$  the restrictions  $\phi : \bullet t_1 \rightarrow \bullet \phi(t_1)$  and  $\phi : t_1^\bullet \rightarrow \phi(t_1)^\bullet$  are bijective (on their definition domain).



- we also add the possibility to *duplicate* places (otherwise the category of  $Nets$  is uncomplete and doesn't have a product)